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51
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/005,054	12/03/2001	Andreas Werner Speitling	OSTEONICS 3.0-349	9208

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LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK
600 SOUTH AVENUE WEST
WESTFIELD, NJ 07090

EXAMINER

YOUNG, MICAH PAUL

ART UNIT	PAPER NUMBER
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1615

DATE MAILED: 03/09/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.	Applicant(s)	
	10/005,054	SPEITLING, ANDREAS WERNER	
	Examiner	Art Unit	
	Micah-Paul Young	1615	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 29 September 2003.
2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,3-9,11-15,17-19 and 21-30 is/are pending in the application.
4a) Of the above claim(s) _____ is/are withdrawn from consideration.
5) ☐ Claim(s) _____ is/are allowed.
6) ☒ Claim(s) 1,3-9,11-15,17-19 and 21-30 is/are rejected.
7) ☐ Claim(s) _____ is/are objected to.
8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Acknowledgment of Papers Received: Amendment/Response filed 10/01/03, Supplemental Response filed 10/29/03, and Information Disclosure Statement filed 10/29/03 and 11/19/03

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 21, 25, 26, and 28 are rejected under 35 U.S.C. 102(b) as being anticipated by Pratt et al (USPN 4,849,223 hereafter ‘223).

‘223 discloses various medical device and implant materials consisting of metallic silver combined with titanium oxide or tantalum oxide (abstract). The medical devices can have rubber or latex substrates. The antimicrobial coating material comprises silver ions (col. 3, lin. 5- 20, lin. 50 –56; col. 4, lin. 33 – 40; examples). The device also comprises various oxides including tantalum oxide in the coating. The coating comprises silver ions mixed with tantalum oxide (col. 2, lin. 51 – col. 4, lin., 33). The surface of the device can be smooth dependent upon the intended use of the device (col. 4, lin. 8 – 13). The coating material can also be incorporated into bone cements so that the cement would produce an antimicrobial effect once in the body (*Id.*). The reference also discloses a method for making the device where oxides are incorporated into the coating. These disclosures render the claimed anticipated.

3. Claims 25-27 are rejected under 35 U.S.C. 102(b) as being anticipated by Jacobson et al (USPN 5,180,585 hereafter ‘585).

'585 teaches an antimicrobial coating composition. The composition can comprise silver ions, while the substrate can comprise polyetherether ketone (PEEK) and polylactic acid. The antimicrobial composition can be incorporated or coated onto medical devices for implantation such as sutures, or for dental purposes (col. 4, lin. 18 – 30; col. 5, lin. 33 – 40; col. 9, lin. 12-15; col. 11, lin. 36 – 60). These disclosures render the claims anticipated.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

6. Claims 1, 3-9, 11-15, 17-19, 22-24 and 29 rejected under 35 U.S.C. 103(a) as being unpatentable over the combined disclosures of Davidson (USPN 5,685,306 hereafter '306), Pratt et al (USPN 4,849,223 hereafter '223) and Jacobson et al (USPN 5,180,585 hereafter '585). The claims are drawn to a medical device comprising a metallic surface and an antimicrobial coating. The coating releases silver ions and can comprise various plastics and ceramics.

7. '306 discloses medical implants comprising polished metal and a coating where antimicrobial agents can be applied. The coating comprises ceramic like coatings such as titanium zirconium oxides, and diamond-like carbon (col. 6, lin. 37 – 53; col. 7, lin. 55 – 60). One of the embodiments of the invention of the reference is that of a pacemaker lead, electrical

signal transmitter, or a defibrillator. An external source would apply the electrical voltage in the defibrillator and signal transmitter embodiments. In this embodiment electrical voltages would be applied to the surfaces of the device, electrostatically charging the surface of the devices (col. 12, lin. 1 – col. 13, lin. 63). The reference however lacks disclosure of silver zeolites.

8. '223 teaches an antimicrobial coating material for medical devices. The medical devices can have rubber or latex substrates. The antimicrobial coating material comprises silver ions (col. 3, lin. 5- 20, lin. 50 –56; col. 4, lin. 33 – 40; examples). The device also comprises various oxides including tantalum oxide in the coating. The coating comprises silver ions mixed with tantalum oxide (col. 2, lin. 51 – col. 4, lin., 33). The surface of the device can be smooth dependent upon the intended use of the device (col. 4, lin. 8 – 13). The coating material can also be incorporated into bone cements so that the cement would produce an antimicrobial effect once in the body (*Id.*). The reference also discloses a method for making the device where oxides are incorporated into the coating. The reference also discloses polyvinyl chloride as a possible polymer, to be incorporated into a coating layer, but does not disclose the specific plastics of applicant.

9. '585 teaches an antimicrobial coating composition. The composition can comprise silver ions, while the substrate can comprise polyetherether ketone (PEEK). The antimicrobial composition can be incorporated or coated onto medical devices for implantation such as sutures, or for dental purposes (col. 4, lin. 18 – 30; col. 5, lin. 33 – 40; col. 9, lin. 12-15; col. 11, lin. 36 – 60).

10. A skilled artisan would have been motivated to combine the suggestions of the prior art. A skilled artisan would have been motivated to coat the stent of '306 with either the coating

compositions of '223 or '585 in order to ward off possible infection during and after implantation. Since a ceramic coating is suggested by '306, '223 would be an obvious choice since hydroxyapatite is disclosed as a possible substrate. Also equally useful as coating substrates are plastics such as polyvinyl chloride, which are useful along with the PEEK of '585. The silver zeolites would have provided improved antimicrobial qualities to the polished metal alloy surface of '306 as well. It would have been obvious to a skilled artisan to coat the device of '306 with either of the coatings taught by '223 or '585, at the time of the invention, with an expected result of a medical device capable of fighting off infection upon implantation or medical use.

Response to Arguments

11. Applicant's arguments with respect to claims 1-23 have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

12. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37

CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Correspondence

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Micah-Paul Young whose telephone number is 571-272-0608. The examiner can normally be reached on M-F 7:00-4:30 every other Monday off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thurman K Page can be reached on 571-272-0602. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Micah-Paul Young
Examiner
Art Unit 1615

MP Young

THURMAN K. PAGE
SUPERVISOR, PATENT EXAMINER
TECHNOLOGY CENTER 1600